

# HIV-related Cardiovascular Disease: Any role for High-density Lipoproteins?

Peter Hudson<sup>1</sup>, Nicholas J. Woudberg<sup>1</sup>, Festus Kamau<sup>2</sup>, Hans Strijdom<sup>2</sup>, Miguel A. Frias<sup>3</sup>, Sandrine Lecour<sup>1</sup>

- 1- Hatter Institute for Cardiovascular Research in Africa, Department of Medicine, Faculty of Health Sciences, University of Cape Town, South Africa
- 2- Centre for Cardio-metabolic Research in Africa, Division of Medical Physiology, Faculty of Medicine and Health Sciences, Stellenbosch University, South Africa.
- 3- Division of Laboratory Medicine, Department of Diagnostics, Geneva University Hospitals, Switzerland.

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**Corresponding author:**

Prof. Sandrine Lecour

Hatter Institute for Cardiovascular Research in Africa

Department of Medicine

Faculty of Health Sciences

University of Cape Town

Observatory, 7935

South Africa

Tel: 0214066278

Email: [Sandrine.lecour@uct.ac.za](mailto:Sandrine.lecour@uct.ac.za)

48 **Abstract**

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50 The introduction of antiretroviral therapy (ART) has improved the life expectancy of patients  
51 infected with human immunodeficiency virus (HIV). However, this population is at an  
52 increased risk for non-communicable diseases, including atherosclerotic cardiovascular  
53 disease (CVD). Both ART and viral infection may be potential contributors to the  
54 pathophysiology of HIV-related CVD. The mechanisms behind this remain unclear but it is  
55 critical to delineate early biomarkers of cardiovascular risk in the HIV population. In this  
56 review, we postulate that potential biomarkers could include alterations to high-density  
57 lipoprotein (HDL). Indeed, recent data suggest that HIV and ART may induce structural  
58 changes of HDL, thus resulting in shifts in HDL subclass distribution and HDL functionality.

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60 **Introduction**

61

62 The majority (>80%) of deaths due to cardiovascular disease (CVD) occurs in low- and  
63 middle-income countries (69). In sub-Saharan Africa (SSA), although communicable  
64 diseases remain the leading cause of death, the prevalence of CVD continues to increase (31).  
65 The growing burden of preventable CVD in Africa can, at least in part, be attributed to  
66 globalization, rapid urbanization and population growth over the last 30 years (44).  
67 Throughout the countries in this region, there is a growing body of evidence suggesting that  
68 the increased incidence of CVDs may also result as a consequence of communicable diseases  
69 such as HIV and tuberculosis (60).

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71 Over 37.9 million people live with HIV worldwide, and approximately two-thirds of those  
72 people reside in SSA (70). There is still no cure or an effective vaccine, however, there have  
73 been major advances in HIV management. Indeed, antiretroviral therapy (ART) has altered  
74 the course of the epidemic, making the once-fatal disease, a chronic and more manageable  
75 condition. HIV-infected patients with access to ART can now expect improved life  
76 expectancy (53). However, this longevity can result in clinical challenges for these patients,  
77 including an increased risk of non-communicable diseases, such as CVD (61). The  
78 pathophysiological mechanisms behind this remain unclear (43, 73) but potential contributors  
79 to the aetiology of HIV-related CVD include HIV itself and the side effects of antiretroviral  
80 therapy (ART). Associated pathophysiological factors include dyslipidaemia, inflammation,  
81 immune/autoimmune activation, insulin resistance, endothelial injury and dysfunctional  
82 coagulation (19, 29, 33, 34, 66). These features, along with traditional and SSA-specific risk

83 factors, including diabetes mellitus and tuberculosis, respectively, may contribute to the  
84 increased risk of CVD (50, 64, 67).

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86 Dyslipidaemia, characterised by lower high-density lipoprotein (HDL) cholesterol and raised  
87 low-density lipoprotein (LDL) cholesterol, is a prominent risk factor for CVD, and it is more  
88 common in HIV-infected individuals than in the general population (11, 21, 26, 39, 74).  
89 Lipoproteins, such as HDL and LDL, can be separated into distinct subclasses based on size,  
90 density, electrophoretic mobility, protein and lipid composition (3). Small-dense LDL is  
91 more pro-atherogenic than other LDL subfractions, most likely as a result of its better ability  
92 to penetrate the arterial wall and its increased susceptibility to modifications due to its longer  
93 circulation time compared to larger LDL (32). With regards to HDL particles, there is now  
94 mounting evidence that HDL particles may change in composition thus resulting in a shift of  
95 HDL subclasses (and function) in the presence of different cardiovascular risk factors (72). It  
96 is, therefore, appropriate to consider whether measurements of HDL function and subclass, as  
97 opposed to HDL-cholesterol concentrations, may prove to be more pertinent when assessing  
98 CVD risk.

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100 In this review, we consider the current rise of CVD-related complications following HIV-  
101 infection/ART and highlight the need for early detection of CVD in the affected populations.  
102 We also discuss how alterations in the lipid profile of patients, specifically in HDL function  
103 and subclass, could potentially be regarded as a useful early biomarker of HIV-related CVD.  
104 We are mindful that there is accumulating evidence for both LDL and HDL subclasses as  
105 possible biomarkers for CVD prediction but the focus of this mini-review will be restricted to  
106 HDL particles.

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## 108 **HIV and CVD**

109

110 Although the pathophysiological mechanisms behind the increased risk of CVD in the HIV  
111 infected population remain unclear, the aetiology most likely relies on the combination of  
112 classic CVD risk factors, HIV itself and the metabolic side-effects of ART (73). The general  
113 population, irrespective of HIV infection status, has a substantial burden of CVD risk factors  
114 (64). HIV itself determines a state of chronic inflammation, immune activation, metabolic  
115 abnormalities and vascular dysfunction (5). In this regard, persistent inflammation and  
116 immune activation may be the most significant contributors to increased CVD risk. HIV-

117 infected individuals have increased concentrations of markers of monocyte activation and  
118 relatively higher levels of nonclassical (CD14-CD16+) monocytes (62, 63). Chronic  
119 inflammation and immune activation alter how lipids are processed/transported and it  
120 exacerbates structural modifications to these lipids via reactive oxygen species or the  
121 activation of enzymes such as lipoprotein-associated phospholipase A2 (22). Before the roll  
122 out of effective ART, many patients experienced dyslipidaemia related to the inflammation  
123 associated with acute infection. The typical ART-naïve lipid profile displays increased  
124 triglycerides and decreased total cholesterol, LDL- and HDL-cholesterol (17). An increase in  
125 circulating LDL together with an increase in macrophage activity increases the likelihood of  
126 oxidised-LDL (ox-LDL) formation, thus promoting foam cell accumulation (46).  
127 Additionally, the presence of chronic co-infections common to HIV-infected people, such as  
128 the Hepatitis C virus, herpes family viruses and chronic kidney disease may contribute to  
129 increased inflammation and CVD risk (49).

130 There is an on-going debate regarding the relative contributions of viral factors versus ART  
131 side-effects to the development of CVD in people living with HIV (4, 67). The Strategies for  
132 Management of Anti-Retroviral Study (SMART study) reported that patients assigned to  
133 discontinued ART exhibited an increased risk for CVD compared to those exposed to ART  
134 drugs (15). Since then, it has been suggested that viral infection increases the risk of CVD  
135 even in patients with complete viral suppression following ART treatment (5). However, to  
136 further complicate the matter, the risk profiles may vary function to the antiviral drug (14).  
137 Protease inhibitors (PIs), including stavudine and zidovudine, were the first antiretroviral  
138 drugs associated with increased CVD risk: their introduction into a clinical setting coincided  
139 with the first reported cases of ischaemic heart disease in HIV patients (30). Increased CVD  
140 risk was also associated with treatment with non-nucleoside-reverse transcriptase inhibitors  
141 (NNRTIs) such as efavirenz (4). The Data Collection on Adverse Events of Anti-HIV Drugs  
142 Study (DAD study) confirmed the relationship between ART and CVD, reporting a  
143 significantly increased occurrence of acute myocardial infarction, with an increased risk of  
144 26% after 6 years of treatment with both PIs and NNRTIs (21). The harmful side effects of  
145 these older regimes include dyslipidaemia, altered glucose metabolism and have been  
146 associated with increased carotid intima-media thickness (cIMT), a common marker for  
147 subclinical atherosclerosis (24). Patients on ART, often present with low grade chronic  
148 inflammation along with raised levels of total cholesterol and LDL-cholesterol (17). ART-  
149 associated lipid abnormalities are most evident in PI-based treatment, an effect which may be

150 explained by its direct effect on the liver. NRTIs also have deleterious effects, usually over a  
151 longer period of time. Their mechanisms of inducing lipid abnormalities are likely related to  
152 impaired adipose tissue function and resulting dyslipidaemia (37). Dyslipidaemia is more  
153 frequent in individuals with impaired fasting glucose or diabetes mellitus than those with  
154 normoglycemia (33). HIV patients have high rates of insulin resistance. Although the rates  
155 are dropping with the improvement of ART, it is still a major concern (2). Most PIs as well as  
156 some NNRTIs, such as efavirenz, have been associated with insulin resistance and impaired  
157 secretion, thus favouring diabetes. Older generations of ART have also been associated with  
158 a specific type of body fat redistribution known as HIV-associated lipodystrophy (37). Newer  
159 ART regimes are generally better tolerated with fewer side effects directly linked to  
160 treatment, however cardiovascular and metabolic complications are still being reported (58).  
161 A recent three-year prospective study showed ART mitigated a significant increase in cIMT  
162 compared to untreated HIV- infected patients and HIV-negative patients. These findings  
163 support the argument that HIV itself, rather than the side effects of ART, is the major cause  
164 of increased CVD risk in HIV-infected patients (38).

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166 Statins (3-hydroxy-3-methyl-glutaryl-coenzyme A (HMG-CoA) reductase enzyme inhibitors)  
167 are the current gold standard for treating hypercholesterolemia. They are the preferred agents  
168 for reducing risk of CVD among HIV infected populations based on guidelines extrapolated  
169 from the general population (45). Many studies have reported that statins lower LDL and ox-  
170 LDL in HIV infected patients via multiple mechanisms including the downregulation of  
171 inflammatory biomarkers and improving endothelial function, thus slowing down the  
172 progression of atherosclerosis (6, 40). Despite this, statins are still underutilized and under-  
173 dosed in this population possibly due to varying reasons including concerns for drug-drug  
174 interactions, non-adherence and poor access to health care (45). The ongoing Randomized  
175 Trial to Prevent Vascular Events in HIV (REPRIEVE; launched in 2015) is a promising  
176 clinical trial with plans to test the ability of statin medication (pitavastatin) in decreasing the  
177 risk of HIV-related CVD (28). Treatment with newer statins, together with the  
178 implementation of lifestyle modifications and switching to newer ART should help lower the  
179 risk of CVD in HIV infected populations.

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181 **HIV-related CVD in SSA**

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183 Data regarding the increased risk of CVD in the HIV-infected population are mainly taken  
184 from high-income countries and it is not clear if the results from these countries can be  
185 translated in the African context. The HIV-positive population in North America and Western  
186 Europe mainly consists of men while in SSA, the HIV-positive population mainly consists of  
187 women (65). Recent data also suggest that in SSA, people living with HIV tend to have fewer  
188 classical CVD risk factors compared to the general population (9, 68). Furthermore, most of  
189 the research from high-income countries has focused on a subtype of HIV that is not  
190 predominant in Africa. HIV-1, group M, subtype C makes up 55 to 60% of all HIV-1  
191 infections and is the predominant subtype of HIV found in SSA, however, the majority of  
192 research has been performed on Caucasian populations with HIV-1, subtype B (42). The two  
193 subtypes differ as much as 30% in their genome (51). Therefore, we cannot exclude that  
194 subtype C, HIV infections may have a slightly altered pathophysiology of HIV-related CVD  
195 (and HDL function and quantity) in comparison to subtype B infections. In 2016, South  
196 Africa implemented the test-and-treat policy as the world's largest ART program which is  
197 subsidized by the government (60). Although the majority of South Africa's HIV-infected  
198 population is receiving ART, the lack of resources in many other developing nations in  
199 Africa results in far fewer patients being ART-treated. When studying HIV-related CVD, one  
200 should therefore consider separating the population into treated versus untreated groups and  
201 consider the type of treatment (14, 42). The pathophysiology of HIV-related CVD in SSA  
202 may also differ from the rest of the world due to a high prevalence of tuberculosis co-  
203 infection, socioeconomic differences and variances in ethnic susceptibility to coronary artery  
204 disease (67) (see figure 1). More specifically, a healthy population of African descent  
205 presents with different lipid profiles, HDL subclasses and functions compared to Caucasians  
206 (71).

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### 209 **CVD, HDL function and subclass**

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211 HDL is the smallest (8-12nm in diameter) and most dense ( $> 1.21$  g/ml) of all lipoproteins  
212 (41). HDL acts mainly to remove and transport cholesterol from peripheral tissues and cells  
213 to the liver for excretion in bile and faeces (25). This anti-atherogenic process is known as  
214 reverse cholesterol transport (RCT) and is mediated through the ATP-binding cassette (ABC)  
215 A1 and ABCG1 transporters (52). It was therefore assumed that pharmacologically  
216 increasing HDL-cholesterol should theoretically decrease the risk of CVD. However, the  
217 results from recent, large-scale clinical trials have proved this therapeutic strategy to be

218 ineffective (7, 57). Recent literature suggests that changes in HDL function and subclass  
219 distribution may, in fact, more accurately represent an individual's risk of CVD (54, 56).

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221 Beyond its role in RCT, HDL performs other cholesterol-independent physiological functions  
222 including anti-inflammatory, anti-thrombotic, antioxidant, and anti-apoptotic functions (20,  
223 48). HDL is the most heterogeneous lipoprotein class as it varies widely in size, density,  
224 electrophoretic mobility, protein and lipid composition. Agarose gel electrophoresis can be  
225 used to separate HDL into different subclasses (3). New, more accurate methods for the  
226 quantification of subclasses are now available, including the Lipoprint system (Quantimetrix,  
227 Redondo Beach, CA) which denotes HDL subclasses as large, intermediate and small  
228 subclasses (18). Adding to the complexity, is the ability of each HDL subclass to perform  
229 different functions at differing efficacies. Indeed, epidemiological studies suggest that  
230 decreased levels of large HDL are associated with increased CVD risk (16, 36). Conversely,  
231 preclinical studies suggest that small HDL is structurally associated with cardioprotective  
232 enzymes, apolipoproteins and lipids such as sphingosine-1-phosphate (S1P) (8, 13, 72). The  
233 diverse roles played by HDL, make the measurement of HDL function and subclass  
234 distribution attractive potential biomarkers for CVD risk assessment.

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### 236 **HDL & HIV**

237

238 One of the principal drivers for the increased CVD risk in both ART naïve and treated HIV-  
239 infected patients is dyslipidaemia (17, 21). A large cross-sectional study conducted in South  
240 Africa reported that 90% and 85% of the ART-naïve and ART-treated participants presented  
241 with dyslipidaemia, with low HDL-C being the most common lipid abnormality.  
242 Furthermore, dyslipidaemia was also associated with abnormal glucose metabolism in both  
243 ART-naïve and treated participants (12).

244

245 In addition to its reduced quantity, the beneficial effects of HDL can also be altered and  
246 become dysfunctional. The HIV protein, Nef, impairs ABCA-1-dependent cholesterol efflux  
247 from human macrophages (46), whilst ART improves cholesterol efflux capacity but not to a  
248 level similar to that of healthy individuals (63). Nef has also been shown to cause  
249 dyslipidaemia and the formation of foam cells in mouse models of atherosclerosis (10). HIV  
250 infection favours the redirection of cholesterol to apolipoprotein B lipoproteins which may  
251 precipitate atherogenesis (55). HIV-infected patients have reduced paraoxonase (PON)  
252 activity (an antioxidant enzyme which forms part of HDL particles) compared to uninfected

253 controls (59). Similarly, systemic inflammation lowers the anti-inflammatory effect of HDL  
254 and it may transform into a dysfunctional and pro-inflammatory particle (35). Dysfunctional  
255 HDL in virally suppressed HIV-infected individuals may potentiate atherosclerosis by  
256 promoting monocyte-derived foam cell formation (1). Dysfunctional HDL has downstream  
257 consequences that are specific to CVD, which potentially makes it an attractive, early  
258 biomarker compared to inflammatory markers which are non-specific (36). Furthermore, HIV  
259 has also been associated with the expression of several microRNAs involved in lipid  
260 metabolism (38). All these findings support the shift in HDL function associated with HIV  
261 infection.

262

263 Only a few studies have explored the associations between HIV infection and HDL subclass.  
264 American and European HIV-infected patients have larger HDL particles that are less stable  
265 and less receptor competent compared to healthy controls (23, 27). These results suggest that  
266 similar to other cardiovascular risk factors, HIV infection causes shifts in HDL subclass  
267 distribution. Munger et al, examined the lipid profile and particle size of ART-treated patients  
268 with traditionally normal lipid profiles and found decreased large HDL, increased small LDL  
269 and reduced reverse cholesterol efflux (47). Recently, our group observed alterations in HDL  
270 subclass in a population of HIV-infected individuals in South Africa with higher distributions  
271 of larger HDL subclasses detected in HIV-infected individuals compared to healthy patients  
272 (62). A detailed characterisation of HDL subclass and function in HIV patients treated  
273 with/without ART would be of great interest to evaluate the effect of HIV versus ART as a  
274 cardiovascular risk factor.

275

## 276 **Conclusion**

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278 There is clear evidence in the literature showing that HIV-positive individuals treated with  
279 ART have a greater risk of developing CVD at an accelerated rate compared to the general  
280 population, due to a net pro-atherogenic environment affecting chronic inflammation and  
281 immune activation. Clinical trial data suggest that the quality of HDL, rather than the  
282 quantity, may be considered to comprehensively define the risk for CVD. One of the possible  
283 mechanisms by which HIV and ART favour CVD might be by adversely altering HDL  
284 subclass distribution, composition and functionality (Figure 2). Studies that fully characterise  
285 their impact may identify key components of HDL that could be explored as early biomarkers  
286 of cardiovascular risk in HIV-infected patients.



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**Disclosures**

None declared by the authors

**Contributions**

PH assimilated and compiled the final manuscript which was written in part by SL, NW, FK, HS, and MF. All authors contributed equally in review of the final manuscript.

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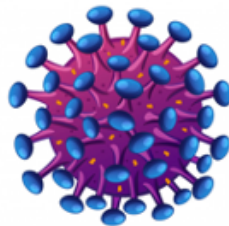
**Figure Legends**

**Figure 1: Proposed pathophysiology of HIV-related CVD in sub-Saharan Africa (SSA).**

**Figure 2. Proposed mechanism depicting how HIV and ART increase the risk for CVD.**  
We suggest that both the HIV viral infection and ART can potentially alter HDL subclass and function, thus increasing the risk for CVD.

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**HIV infection**

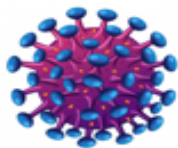
**Classic and SSA-specific risk factors**

**Antiretroviral therapy**

**Persistent inflammation and immune activation**

**Dyslipidemia  
Lipodystrophy  
Insulin resistance  
Endothelial injury  
Dysfunctional coagulation**

**Increased risk for CVD**



HIV virus

Antiretroviral  
therapy

**Shift in HDL subclass distribution**

Small  
HDL



Large  
HDL

**Decreased HDL functionality**

Reduced:

Reverse cholesterol efflux

Anti-oxidative function

Anti-apoptotic function

Anti-inflammatory function

Anti-thrombotic function

**Increased cardiovascular disease risk**