

# Prevention of infective endocarditis associated with dental interventions

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## ABSTRACT

Infective endocarditis (IE) is associated with significant morbidity and mortality. Prevention is therefore an important clinical entity. The maintenance of optimal oral health is likely to play the most important role in protecting those at risk for IE. Both patients and health care practitioners must be educated in this regard. Guidelines have recommended that antibiotic prophylaxis should be limited to individuals (undergoing certain high-risk dental procedures) with underlying cardiac conditions that are associated with the greatest risk of an adverse outcome from IE. These conditions include prosthetic valves, congenital heart disease and previous IE. In South Africa, and other developing countries, IE is often a disease of young patients with rheumatic heart disease (RHD) and carries a very poor prognosis. In contrast, IE in Europe/North America, where guidelines and indications for antibiotic prophylaxis have been reduced, has a different spectrum of factors. These patients are older with degenerative valve disease. IE may also occur as a result of invasive health care associated procedures or in the setting of prosthetic valves and implantable cardiac devices. Recently published international guidelines cannot be automatically applied to countries where RHD is common and oral hygiene is poor. We therefore recommend that patients with RHD should also receive antibiotic prophylaxis prior to the listed dental procedures. Antibiotic prophylaxis should be prescribed after stressing the role of good oral health and why the approach differs in South Africa. There should be close cooperation between the dental practitioner

and clinician as to who should receive prophylaxis and who should not. SAHeart 2017;14:170-174.

## INTRODUCTION

Infective endocarditis (IE) is a rare, but severe, disease and occurs when circulating microorganisms colonise cardiac valves (both natural and prosthetic), the endocardium, or intracardiac devices.<sup>1</sup> Certain pre-existing conditions render an individual more susceptible. Because of the serious associated morbidity and mortality, prevention of IE is an important clinical issue.

IE in South Africa, and in other developing countries, is predominantly a disease of young patients with rheumatic heart disease (RHD) and carries a very poor prognosis. In contrast, IE in Europe/North America, where guidelines and indications for antibiotic prophylaxis have been reduced, has a different spectrum of risk factors. These patients are older, suffer mainly with degenerative valve disease/mitral valve prolapse. IE may also occur as a result of invasive health care-associated procedures or in the setting of prosthetic valves and implantable cardiac devices.<sup>1-3</sup>

The Stellenbosch University conducted a 3-year prospective epidemiological study of IE in the Western Cape. RHD was the major predisposing condition in 76.6% and 17% of the patients had prosthetic valves. Degenerative valve disease, intravenous drug use and HIV infection were not important risk factors. Outcome was extremely poor; 6-month mortality was 35.6% (much higher compared to reported international rates of 6% - 27%), while nearly half of the patients required subsequent valve replacement. Cardiac failure developed or worsened in just over 75%, which may partly be due to late referral and other inefficiencies in local health care services.<sup>3</sup> A more recent publication from Baragwanath Hospital has highlighted the increasing incidence of right sided endocarditis in HIV positive patients injecting themselves with intravenous Nyoape, a street drug encountered in South Africa. Nyoape is a variable drug combination of an antiretroviral, heroin, metamphetamines and cannabis.<sup>4</sup>

RHD markedly elevates the risk of IE. In a case review from the northern territories of Australia, IE incidence in patients with native valve RHD was 290 per 100 000 person-years. This corresponded to a relative risk of 58 in comparison to those without native valve RHD.<sup>5</sup> This association is well documented in the developing world,<sup>5-8</sup> but is no longer seen in many higher income countries,<sup>5,9</sup> where the prevalence of rheumatic fever has declined,<sup>5,10</sup> and the use of intravenous recreational drugs is more common.<sup>5,11</sup>

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It is obvious that the first step in the prevention of IE in developing countries would be to reduce the pool of patients who are susceptible to this infection. This would require effective programmes to prevent rheumatic fever (and recurrences) and, hence, RHD. Regrettably, this has not happened.<sup>2</sup>

The rationale for antibiotic prophylaxis is based on the assumption that bacteraemia subsequent to medical procedures may cause IE, particularly in those with predisposing cardiac disease. Prophylactic antibiotics might prevent IE by minimising bacteraemia, or by altering bacterial properties leading to reduced adherence to the endocardium.<sup>1,2,12</sup> This concept led to the recommendation of antibiotic prophylaxis in a large number of patients with predisposing cardiac conditions, undergoing a wide range of procedures.

Antibiotic prophylaxis has been accepted for decades, even though the efficacy has not been confirmed in a prospective randomised controlled trial. It is also unlikely that such a study will ever be conducted. Assumptions are based on non-uniform expert opinion, findings from animal models, case reports and contradictory observational studies.<sup>1-3,12-22</sup>

In the majority of those who suffer IE, no potential index procedure can be identified beforehand. The estimated risk of IE following dental procedures is very low.<sup>12,13</sup> Prophylaxis may therefore avoid only a small number of IE cases, as shown by estimations of 1 case of IE per 150 000 dental procedures (in intermediate risk patients) with prophylaxis and 1 per 46 000 for procedures unprotected by antibiotics.<sup>13</sup>

Bacteria originating from the mouth account for a significant proportion of cases of IE. Transient bacteraemia occurs not only following dental (and other) procedures, but also after routine oral activities such as tooth brushing, flossing and chewing. The high incidence and cumulative effect of lowgrade daily episodes, especially in those with poor oral hygiene, is a more important risk factor than sporadic bacteraemia occurring with a single dental procedure. Patients with underlying heart conditions that predispose to bacterial colonisation are therefore exposed to a low, but continual, lifelong risk of developing IE. Eliminating gingivitis would reduce the incidence and degree of spontaneous bacteraemia and hence IE.<sup>1-3,12-22</sup>

Oral health in South Africa is generally quite poor and addressing this at policy level will have more impact on the prevalence of IE than antibiotic prophylaxis.<sup>2</sup> A recent SA study concluded that inadequate attention is paid to the maintenance of oral hygiene in patients with severe rheumatic heart disease (RHD) requiring cardiac surgery.<sup>22</sup>

All Expert Committees on IE prevention agree that the maintenance of optimal oral hygiene (by regular professional dental care and the appropriate use of manual, powered and ultrasonic toothbrushes, dental floss and other plaque-removal devices) is the most effective intervention for the prevention of IE of oral origin.<sup>1-3,12-22</sup>

It is recommended that patients with valvular heart disease be referred to a dentist/oral hygienist for ongoing treatment and advice. Patients and attending clinicians need to be educated in this regard. A medical history should be obtained from every patient before any dental treatment. A full oral examination, including dental radiography, should be performed. Further examinations at frequent and regular intervals will ensure maintenance of good oral hygiene, as well as early diagnosis and treatment of any oral infections. It is advisable to issue patients with a warning card to record their cardiac condition, drug therapy and suggested prophylactic measures to be taken before dental treatment.<sup>2,12,13</sup>

Patients should be informed about their valve disease and the possible development of what constitutional symptoms might be associated with IE. They should be advised to seek prompt medical care in the event of suspicious symptoms such as fever that is more than transient.

SA Heart is an affiliated member of the European Society of Cardiology (ESC) and hence adopts the practice guidelines of the ESC as its own.

## INFECTIVE ENDOCARDITIS PREVENTION

In 2009, the "Guidelines on the prevention, diagnosis and treatment of infective endocarditis" were endorsed by the European Society of Clinical Microbiology and Infectious Diseases, and by the International Society of Chemotherapy for Infection and Cancer.<sup>12</sup> The task force justified revision of their previous position with respect to prophylaxis of IE. The existing evidence did not support the extensive use of antibiotic prophylaxis recommended in previous guidelines. The intention was to avoid extensive, nonevidence-based use of antibiotics for all at-risk patients undergoing interventional procedures, but to limit prophylaxis to the highest-risk individuals. The indications for antibiotic prophylaxis for IE were therefore reduced in comparison with previous recommendations. The recently updated "2015 ESC Guidelines for the management of infective endocarditis" maintains the same principles and recommendations.<sup>13</sup>

The ESC Guideline states that antibiotic prophylaxis should be limited to those with the highest risk of IE (Table 1), undergoing the highest risk dental procedure (Table II). High-risk is defined as those with underlying cardiac conditions associated with the greatest risk of adverse outcome from IE, and not necessarily those with an increased lifetime risk of endocarditis.<sup>13</sup> Prophylaxis was not recommended for any other form of native valve disease, with a small but increased life-time risk of IE, including the most commonly identified conditions, bicuspid aortic valve, mitral valve prolapse and calcific aortic stenosis.<sup>12,13</sup>

Although the American Heart Association/American College of Cardiology recommend prophylaxis in cardiac transplant recipients who develop cardiac valvulopathy,<sup>19</sup> this is not supported by strong evidence and is not recommended by the ESC Task Force.<sup>13</sup>

It is the opinion of SA Heart that recently published guidelines cannot be automatically applied in developing countries where RHD is common and oral hygiene is poor. We concede that the evidence in favour of prophylaxis is not robust; however, patients with RHD (undergoing dental procedures) represent a higher risk for IE (and poor outcome) and should thus receive antibiotic prophylaxis prior

**Table 1:** Cardiac conditions at highest risk of IE for which prophylaxis is recommended, when a high-risk procedure is performed.

Patients with a prosthetic valve or prosthetic material used for cardiac repair have a higher risk of IE, greater mortality and develop more complications than those with native valve and an identical pathogen; this recommendation also applies to transcatheter-implanted prostheses.

Patients with previous IE have a greater risk for new IE, higher mortality and develop more complications than patients with a first episode of IE.

Patients with congenital heart disease (CHD):

- a. Any type of cyanotic CHD.
- b. Any type of CHD repaired with prosthetic material, whether placed surgically, or by percutaneous technique, up to 6-months after the procedure or lifelong if residual shunt or valvular regurgitation remains.

**Table 2:** Recommendations for prophylaxis of IE in the highest risk patients, according to the type of dental procedure.

Antibiotic prophylaxis should only be considered for procedures requiring manipulation of the gingival or peri-apical region of the teeth or perforation of the oral mucosa, where bleeding is anticipated. In such situations, this may include intra-ligamental local anaesthetic infiltration and placement of orthodontic bands.

Antibiotic prophylaxis is not recommended for local anaesthetic injections in non-infected tissue, treatment of superficial carries, removal of sutures, dental X-rays, placement of removable prosthodontics or orthodontic appliances or braces or following shedding of deciduous teeth or trauma to the lips or oral mucosa.

to the dental procedures listed below (Table II). This recommendation is made, given our prevailing circumstances and the absence of

evidence of significant harm for a potentially effective intervention, oral amoxicillin. Antibiotic prophylaxis should be prescribed after stressing the role of good oral health and informing patients of the ESC guidelines and why the approach differs in South Africa.

Antibiotic prophylaxis should only be considered for procedures requiring manipulation of the gingival or peri-apical region of the teeth or perforation of the oral mucosa, where bleeding is anticipated. In such situations, this may include intra-ligamental local anaesthetic infiltration and placement of orthodontic bands. Guidelines from other countries with populations with similar high RHD prevalence, have also kept RHD on the list of conditions for prophylaxis. The Infective Endocarditis Prophylaxis Expert Group has recommended that indigenous Australian patients with RHD are a special population at high risk for IE (and for adverse outcomes) and should receive antibiotic prophylaxis.<sup>23</sup> An Australian survey has however since reported that RHD-associated IE was not confined to indigenous Australians, with 42% being non-indigenous.<sup>5</sup> It was therefore recommended that the indications for prophylaxis prior to procedures which cause bacteraemia, should be broadened to include all with RHD,<sup>5</sup> as do the New Zealand guidelines.<sup>24</sup> In India, there are no recommendations issued by any local professional organisation, and hence the decision is left to the discretion of the individual physician/dentist. RHD is the major cause of valvular heart disease in Brazil where the oral health of the general population is extremely poor and has not improved over decades. The Brazilian Society of Cardiology and the Inter-American Society of Cardiology therefore recommends prophylaxis to all with valvular or CHD (that represents a risk for IE), before dental interventional procedures.<sup>25</sup>

HIV infection is not associated with an increased risk of IE. A significant number of patients with IE may be coincidentally HIV infected, given the high prevalence of both HIV and RHD in Africa.<sup>26</sup> In a South African prospective observational study that examined the risk factors for IE, only one of their cohort of 92 patients was HIV seropositive. The main risk factors included RHD, in addition to prosthetic valves, CHD and a previous history of IE.<sup>3</sup> Antibiotic prophylaxis, in the setting of HIV, is therefore indicated only in those with high-risk cardiac lesions/factors (Table I), undergoing the procedures outlined in Table II.

The use of dental implants raises concerns with regard to potential risk due to foreign material at the interface between the buccal cavity and blood. Very few data are available. The opinion of the ESC task force is that there is no evidence to contraindicate implants in all patients who may be at risk. The indication should be discussed on a case-by-case basis. The patient should be informed of the uncertainties and the need for close follow-up.<sup>13</sup>

Antibiotic prophylaxis should only be considered for patients at highest-risk described in Table 1 (in addition to those with RHD) undergoing any of the at-risk procedures (Table II), and is not recommended in other situations. Oral streptococci are the main targets for prophylaxis. A single dose of antibiotic should be given

before the procedure. There is no proven value to administering a follow-up dose 6 hours later. Table III summarises the main regimens of antibiotic prophylaxis recommended before dental procedures. Fluoroquinolones and glycopeptides are not recommended due to their unclear efficacy and the potential induction of resistance.<sup>13</sup>

Clindamycin is not always available in a suspension form in certain State clinics. It is therefore suggested that suitable alternatives are azithromycin or clarithromycin, 500mg for adults and 15mg/kg in children.<sup>19</sup>

Antibiotic administration carries a small risk of anaphylaxis, which may become more significant in the event of widespread use, however the risk of lethal anaphylaxis is extremely low when using oral amoxicillin. In fact, no fatal case has been reported (over at least a 35-year period) after oral administration for IE prophylaxis.<sup>12,13,27,28</sup>

Curative antibiotics must be prescribed for any focus of bacterial infection.<sup>13</sup> Periodontal and endodontic infections are mainly due to gram-negative bacteria. Merely covering these with amoxicillin will not be effective, and broader therapy is required. The choice of antibiotics should be determined and administered as instructed by local practice. The ESC also strongly recommends that potential sources of dental sepsis (which may pose a risk for post-operative sepsis and IE) should be eliminated at least 2 weeks before implantation of a prosthetic valve, other intracardiac or intravascular foreign material, unless the procedure is urgent.<sup>13</sup>

In addition to antibiotic prophylaxis of IE, pre-procedural antiseptic mouth rinses (chlorhexidine or povidone-iodine) may reduce the incidence or magnitude of bacteraemia occurring during invasive dental procedures. The results of studies of "oral degerming" have however been variable, and there is no conclusive evidence for this approach.<sup>1,29</sup> The ESC protocol makes no reference to the use of antiseptic prophylaxis before at-risk dental manipulation. (12,13) Further research is required to determine the effectiveness of pre-procedural mouth rinsing and to investigate new antiseptic protocols.<sup>29</sup> Other national/association guidelines on IE prophylaxis have been revised. The American Heart Association recommendations as well as those of the Working Party of the British Society for Antimicrobial Chemotherapy (BSAC) are similar to the ESC recommendations.<sup>20</sup>

In 2008 the National Institute of Health and Clinical Excellence (NICE) radically recommended complete cessation of antibiotic prophylaxis, in any patient with valvular heart disease, whatever the risk.<sup>21</sup> It was concluded that in the absence of prospective, randomised trials, there is a lack of proof for antibiotic prophylaxis, which is cost-ineffective. As a result, the United Kingdom is now the only place that does not recommend antibiotic prophylaxis for high-risk individuals and this has been a particular cause for concern amongst many dental practitioners. In addition, Dayer, et al., have recently reported a substantial fall in antibiotic prophylaxis prescribing in the 5-years following the NICE recommendations, as well as a highly significant increase in the incidence of IE. There were 419 more cases of IE per year, than would have been expected from projection of the pre-NICE trends.<sup>31</sup> These findings require cautious interpretation with respect to confounding factors, and in particular to an increase in healthcare-associated IE. Microbiological details were also not reported. It is therefore not clear whether the increased incidence of IE was due to bacteria covered by antibiotic prophylaxis or not.<sup>13</sup> After further review of the effectiveness of prophylaxis against IE, NICE ([www.nice.uk.org](http://www.nice.uk.org)) has since found no need to change their existing guidance. They concluded that the longstanding increase in the incidence of IE is not well understood, and may be due to other factors.<sup>21</sup>

## INFECTIVE ENDOCARDITIS PREVENTION

The risk assessment suggests that it would be safer to recommend antibiotic prophylaxis (for those with high-risk cardiac disease), while waiting for a randomised controlled trial. It is likely that cumulative regular small bacteraemias from daily activities pose a significant threat to patients at risk of IE; this does not mean that occasional large bacteraemias from invasive dental procedures do not. Our aim should be to minimise all causes of bacteraemia in susceptible

Table 3: Recommended antibiotic prophylaxis regimens.

Single dose 60 minutes before procedure – p.o or i.v.			
Situation	Antibiotic	Adults	Children
No allergy to penicillin/ampicillin	Amoxicillin/ampicillin	2 g	50 mg/kg PO
Allergy to penicillin/ampicillin	Clindamycin	600 mg	20 mg/kg
Alternatively, cephelaxin 2g i.v. for adults or 50mg/kg i.v. for children; cefazolin or ceftriaxone 1g i.v. for adults or 50mg/kg i.v. for children.			
Cephalosporins should not be used in those patients with a history of anaphylaxis, angio-oedema or urticaria after penicillin or ampicillin due to cross-sensitivity.			

individuals.<sup>32</sup> The evidence suggests that antibiotic prophylaxis may prevent a number of cases of IE,<sup>31,32</sup> and at least for those without a history of penicillin allergy, oral amoxicillin prophylaxis is safe, with a low likelihood of anaphylaxis.<sup>28,29,32</sup>

SA Heart recommends antibiotic prophylaxis to individuals with the greatest risk of an adverse outcome with IE (outlined in Table 1, in addition to those with RHD, undergoing the procedures described in Table II). We again emphasise the maintenance of optimal oral health, which is likely to play the most important role in protecting those at risk of IE, in addition to the education of patients in this regard. There should be close cooperation between the dental practitioner/physician/paediatrician/cardiologist/cardiac surgeon as to who should receive prophylaxis and who should not.

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